Welcome to the February 13, 2013 CPI meeting

Today’s agenda includes the following items:

• **Preview of OSRS Maestro FCOI Module**— Ms. Leonarda Horvat, Director of Research Information Systems, Office of Sponsored Research Services

• **Update on OSRS**— Mr. Leo Paterra, Executive Director, Office of Sponsored Research Services

• **Update on federal budget issues** – Dr. Diane Hurtado, Assistant Vice President for Federal Relations, Texas A&M University
Managing Federal Conflict of Interest (FCOI)

February, 2013

Leonarda Horvat
Director, Research Information Systems
FCOI Maestro Roles

• Researcher
• Conflict of Interest Official for the Member (COIO)
• Research Administrator
Researcher’s Responsibilities

• If researcher has no proposal/project
  – Need to submit annual disclosure

• PHS proposal
  – Disclosure is required for PI/Co-PI/Co-I
  – Must complete disclosure before proposal submission

• Non-PHS proposal
  – Disclosure is not required
  – Researcher is allowed to submit disclosure
Researcher’s Responsibilities

- **Project**
  - PI decides who is “investigator”. By default, PI/Co-PI/Co-I are investigators.
  - Contract Negotiation/Project Administrator marks whether disclosure is required or not based on OGC Exceptions Guidelines. By default, it is set as “Required” for each project.
  - If disclosure exists during proposal phase, then it will roll over into project.
  - Need to submit annual updates
  - Need to submit manual updates whenever acquiring new SFIs
Researcher’s Screens

- Disclosure Task List
  - Pending disclosures tasks

- Active Disclosure List
  - All currently active disclosures
  - Create manual updates

- Disclosure History Query

- My Significant Financial Interest (SFI)
Disclosure Task List

Disclosure Tasks (Leonarda Horvat)

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<th>Action</th>
<th>View</th>
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My Significant Financial Interest (SFI) (Leonarda Horvat)

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Attachments

- No data to display

Notes

- No notes to display
COI O’s Responsibilities

• Review submitted disclosures
  – If researcher submits a disclosure with “SFI Exists”
  – If researcher submits a manual or annual update from “SFI Exists” to “No SFI Exists”

• Verify or decide
  – “SFI Exists”, “FCOI Exists”, “FCOI Manageable”, “Managed Date”

• Log-in disclosures submitted in paper form
COI O’s Screen

- Disclosure Review Task List
  - Submitted disclosures pending review

- All Disclosures Query

- Researcher Query
  - Researcher training information
  - Disclosure submitted indicator
  - SFI exists indicator
  - FCOI exists indicator

- Initial Disclosure Entry
  - Direct entry of disclosures submitted in paper form
COI/O Disclosure Access

- A disclosure can only be reviewed by the researcher’s adloc member COIO
- Agrilife Research COIO has view-only access to all disclosures of College of Agriculture researchers
- TEES COIO has view-only access to all disclosures of College of Engineering researchers
- If a researcher’s organization setting in proposal or project is overridden such that it belongs to a different member, the COIO of that member has view-only access to the disclosure
Administrator’s Responsibilities

• Check FCOI requirements of each proposal/project

• Check FCOI compliance status of each proposal/project

• Take actions based on FCOI status, for example, proposal submission, fund expenditure control
Administrator’s Screen

• Proposal/Project General Info
  – Disclosure Required indicator
  – Disclosure Incomplete indicator
  – FCOI Exists indicator

• Proposal/Project Personnel
  – Investigator indicator

• FCOI Status
  – Investigator training information
  – Investigator’s disclosure
  – COIO’s review results
Office of Sponsored Research Services

Chancellor
Mr. John Sharp

Chief Research Officer
Dr. Jon Mogford

Executive Director
Mr. Leo J. Paterra

Associate Executive Director
Dr. Jim Joyce

Chief Operating Officer
Mr. Mark S. Smock

Advisory Board
Dr. Loftin, Dr. Hussey, Dr. Banks, Mr. Pederson

PI/Faculty Advisory Committee
Texas A&M University, AgriLife, TTI, TEES, TAMHSC, etc.

Contracts and Grants Team
Proposal Administration
Contract Negotiation
Project Administration

OSRS Oversight Committee
Texas A&M University, AgriLife, TTI, TEES, TAMHSC, etc.

Business Support Services Team
Travel, Purchasing, Payroll, etc.
Principal Investigator/Faculty Advisory Committee (PI FAC)

- **Dr. Terry L. Thomas, Chair**  
  Professor, Department of Biology, College of Science  
  Texas A&M University

- **Dr. Dean C. Alberson**  
  Assistant Agency Director, Roadside Safety and Physical Security Division  
  Texas A&M Transportation Institute

- **Dr. Ronald D. Boyd**  
  Distinguished Professor, Department of Mechanical Engineering, College of Engineering  
  Prairie View A&M University

- **Dr. Kim D. Jones**  
  Director of the South Texas Environmental Institute Professor and Chairman  
  Department of Environmental Engineering, Frank H. Dotterweich College of Engineering  
  Texas A&M University-Kingsville

- **Dr. Lawrence Rauchwerger**  
  Professor, Department of Computer Science and Engineering, Dwight Look College of Engineering  
  Texas A&M University
Principal Investigator/Faculty Advisory Committee (PI FAC)

- **Dr. Gregory D. Reinhart**
  Professor and Head, Department of Biochemistry and Biophysics, College of Agriculture and Life Sciences
  Texas A&M University

- **Dr. Carol A. Rice**
  Extension Program Leader for Family Development and Resource Management
  Professor and Extension Health Specialist

- **Dr. Deborah C. Simmons**
  Professor, Department of Education Psychology, College of Education & Human Development
  Texas A&M University

- **Dr. Niall C. Slowey**
  Professor, Department of Oceanography, College of Geosciences
  Texas A&M University

- **Dr. Farida Sohrabji**
  Professor, Department of Neuroscience & Experimental Therapeutics, College of Medicine
  Texas A&M Health Science Center
OSRS Oversight Committee

- **Ms. Julie Bishop**  
  Assistant Vice President for Research  
  Texas A&M Health Science Center

- **Mr. Don Bugh**  
  Executive Associate Agency Director  
  Texas A&M Transportation Institute

- **Ms. Carol Cantrell**  
  Senior Associate Vice President for Research Administration  
  Texas A&M University

- **Dr. Luis Cifuentes**  
  Vice President for Research, Commercialization and Outreach  
  Texas A&M University – Corpus Christi

- **Mr. Stephen Schulze**  
  Assistant Vice Chancellor for Administration  
  Texas A&M AgriLife Research/AgriLife Extension

- **Dr. Willie F. Trotty**  
  Vice President for Research Development and Dean, Graduate Studies  
  Prairie View A&M University

- **Ms. Cindy Wall, Chairperson**  
  Assistant Agency Director for Operations  
  Texas A&M Engineering Experiment Station
Federal Budget Overview

L. Diane Hurtado
February 13, 2013
Council of Principal Investigators
Due to recent cutbacks, the light at the end of the tunnel has been turned off.
2013 Federal Budget Status

• In January, sequestration was not addressed, a decision was put off until March 1

• If sequestration happens-- Non-defense discretionary spending will be at its **lowest level** relative to Gross Domestic Product since **1962**

• This will force **deep cuts** ($85B) across most federal research and education programs

• These cuts are on top of $1.5T in discretionary cuts that have already been enacted
To help prevent sequestration Texas A&M has strengthened our voice by teaming with:

- Association of American Universities
- Association of Public and Land-grant Universities
- American Council on Education
- American Association for the Advancement of Science
- The Science Coalition

With these organizations we have:

- Met with key Congressional members
- Delivered letters to Congress and the Administration
- Helped create Op-Eds, Video, and other social media pieces
- Helped honor Congressional members that support research funding

Nevertheless, we currently believe that sequestration will happen
General Predicted Impact of Sequestration—
• Furloughs of civil servant personnel
• Reduction in law enforcement capacity
• Reduction in head start, child care, maternal and child health care
• Reduction in disaster relief
• Decreased military readiness and general military operational budgets
• Reduction in embassy security
• Reduction in research including medical research

Texas Statewide Impact of Sequestration--
• 3rd (among states) in amount of federal R&D funding
• 1st (among states) in USDA funding
• 3rd (among states) in NIH, DOD, and NASA funding
2013 Federal Budget Status

TAMU Impacts of Sequestration:
- Total TAMU Federal R&D Budget - $292M
- 6% (estimated across the board cut for remainder of FY13) = $17.5M
- TAMU Federal R&D breakdown:
  - 37% NSF
  - 12% DOD
  - 11% NIH
  - 11% USDA
  - 10% DOE
  - 3% DOEd (Research)
  - 2% NASA
  - 15% Other

- Effect will not be immediate
- Agencies will prioritize (how?)
- Expect lower hit rates on proposals
- Most will try to maintain a commitment to early career grants
- Not all will honor out year commitments
Questions?
Thank you for attending today’s meeting.

Copies of today’s presentation materials and a video of the meeting will be available at http://cpi.tamu.edu/meetings

The next CPI meeting will be held on March 6, 2013 in Rudder 601.

*Activities and staff assistance for the CPI is provided through equal annual funding support by the Texas A&M AgriLife Research, Texas A&M Health Science Center, Texas A&M Engineering Experiment Station, the Texas A&M Transportation Institute, and the Texas A&M University Division of Research.